

FORM PTO-1390  
(REV. 11-98)

DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE

ATTORNEY'S DOCKET NUMBER

TRANSMITTAL LETTER TO THE UNITED STATES  
DESIGNATED/ELECTED OFFICE (DO/EO/US)  
CONCERNING A FILING UNDER 35 U.S.C. 371

CU-2007 RJS

U.S. APPLICATION NO. (If known, see 37 CFR 1.5)

09/381,771

INTERNATIONAL APPLICATION NO.  
PCT/DK98/00119INTERNATIONAL FILING DATE  
25 March 1998PRIORITY DATE CLAIMED  
25 March 1997TITLE OF INVENTION FIGURATIVE PRINT ON A PLANE CARRIER AND USE OF SUCH  
FIGURATIVE PRINT

APPLICANT(S) FOR DO/EO/US

Erik Brogaard THOMSEN

Applicant herewith submits to the United States Designated/Elected Office (DO/EO/US) the following items and other information:

1. ☐ This is a **FIRST** submission of items concerning a filing under 35 U.S.C. 371.
2. ☒ This is a **SECOND** or **SUBSEQUENT** submission of items concerning a filing under 35 U.S.C. 371.
3. ☐ This express request to begin national examination procedures (35 U.S.C. 371(f)) at any time rather than delay examination until the expiration of the applicable time limit set in 35 U.S.C. 371(b) and PCT Articles 22 and 39(1).
4. ☐ A proper Demand for International Preliminary Examination was made by the 19th month from the earliest claimed priority date.
5. ☐ A copy of the International Application as filed (35 U.S.C. 371(c)(2))
  - a. ☐ is transmitted herewith (required only if not transmitted by the International Bureau).
  - b. ☐ has been transmitted by the International Bureau.
  - c. ☐ is not required, as the application was filed in the United States Receiving Office (RO/US).
6. ☐ A translation of the International Application into English (35 U.S.C. 371(c)(2)).
7. ☐ Amendments to the claims of the International Application under PCT Article 19 (35 U.S.C. 371(c)(3))
  - a. ☐ are transmitted herewith (required only if not transmitted by the International Bureau).
  - b. ☐ have been transmitted by the International Bureau.
  - c. ☐ have not been made; however, the time limit for making such amendments has NOT expired.
  - d. ☐ have not been made and will not be made.
8. ☐ A translation of the amendments to the claims under PCT Article 19 (35 U.S.C. 371(c)(3)).
9. ☒ An oath or declaration of the inventor(s) (35 U.S.C. 371(c)(4)).
10. ☐ A translation of the annexes to the International Preliminary Examination Report under PCT Article 36 (35 U.S.C. 371(c)(5)).

## Items 11. to 16. below concern document(s) or information included:

11. ☐ An Information Disclosure Statement under 37 CFR 1.97 and 1.98.
12. ☒ An assignment document for recording. A separate cover sheet in compliance with 37 CFR 3.28 and 3.31 is included.
13. ☐ A FIRST preliminary amendment.  
☐ A SECOND or SUBSEQUENT preliminary amendment.
14. ☐ A substitute specification.
15. ☐ A change of power of attorney and/or address letter.
16. ☒ Other items or information:
  - \*Appointment of Associate Attorney
  - \*Verified Small Entity Statement
  - w/Request for Refund

10/29/1999 PVOLPE 00000088 09381771

Express Mail Label No. EL278278574US

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65.00 OP

17. ☐ The following fees are submitted:**BASIC NATIONAL FEE (37 CFR 1.492 (a) (1) - (5)) :**

Neither international preliminary examination fee (37 CFR 1.482)  
nor international search fee (37 CFR 1.445(a)(2)) paid to USPTO  
and International Search Report not prepared by the EPO or JPO ..... \$970.00

International preliminary examination fee (37 CFR 1.482) not paid to  
USPTO but International Search Report prepared by the EPO or JPO ..... \$840.00

International preliminary examination fee (37 CFR 1.482) not paid to USPTO but  
international search fee (37 CFR 1.445(a)(2)) paid to USPTO ..... \$760.00

International preliminary examination fee paid to USPTO (37 CFR 1.482)  
but all claims did not satisfy provisions of PCT Article 33(1)-(4) ..... \$670.00

International preliminary examination fee paid to USPTO (37 CFR 1.482)  
and all claims satisfied provisions of PCT Article 33(1)-(4) ..... \$96.00

**ENTER APPROPRIATE BASIC FEE AMOUNT =****CALCULATIONS** PTO USE ONLY

Surcharge of \$130.00 for furnishing the oath or declaration later than ☐ 20 ☒ 30  
months from the earliest claimed priority date (37 CFR 1.492(e)).

\$ 130.00

| CLAIMS                                      | NUMBER FILED | NUMBER EXTRA | RATE       |
|---|--------------|--------------|------------|
| Total claims                                | - 20 =       |              | X \$18.00  |
| Independent claims                          | - 3 =        |              | X \$78.00  |
| MULTIPLE DEPENDENT CLAIM(S) (if applicable) |              |              | + \$260.00 |

\$

\$

\$

**TOTAL OF ABOVE CALCULATIONS =**

\$ 130.00

Reduction of 1/2 for filing by small entity, if applicable. A Small Entity Statement  
must also be filed (Note 37 CFR 1.9, 1.27, 1.28).

\$ 65.00

**SUBTOTAL =**

\$ 65.00

Processing fee of \$130.00 for furnishing the English translation later than ☐ 20 ☐ 30  
months from the earliest claimed priority date (37 CFR 1.492(f)).

\$

+

**TOTAL NATIONAL FEE =**

\$

Fee for recording the enclosed assignment (37 CFR 1.21(h)). The assignment must be  
accompanied by an appropriate cover sheet (37 CFR 3.28, 3.31). \$40.00 per property

\$

40.00

+

**TOTAL FEES ENCLOSED =**

\$ 105.00

Amount to be:

refunded

\$

charged

\$

a. ☒ A check in the amount of \$ 105.00 to cover the above fees is enclosed.

b. ☐ Please charge my Deposit Account No. \_\_\_\_\_ in the amount of \$ \_\_\_\_\_ to cover the above fees.  
A duplicate copy of this sheet is enclosed.

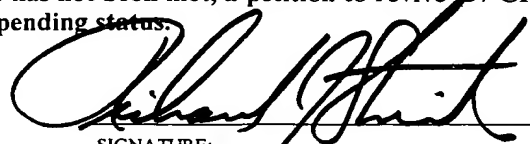
c. ☒ The Commissioner is hereby authorized to charge any additional fees which may be required, or credit any  
overpayment to Deposit Account No. 12-0400. A duplicate copy of this sheet is enclosed.

**NOTE:** Where an appropriate time limit under 37 CFR 1.494 or 1.495 has not been met, a petition to revive (37 CFR 1.137(a) or (b)) must be filed and granted to restore the application to pending status.

SEND ALL CORRESPONDENCE TO:

Ladas & Parry  
224 South Michigan Avenue  
Chicago, Illinois 60604  
(312) 427-1300

October 26, 1999



SIGNATURE:

Richard J. Streit

NAME

25765

REGISTRATION NUMBER

DOCKET: CU-2007

**IN THE UNITED STATES PATENT & TRADEMARK OFFICE**

APPLICANT: Erik Brogaard THOMSEN )  
SERIAL NO: 09/381,771 )  
TITLE: FIGURATIVE PRINT ON A PLANE PRINT )  
CARRIER AND USE OF SUCH FIGURATIVE PRINT )  
COMPLETION OF PCT/DK98/00119 filed 25 March 1998 )

The Assistant Commissioner for Patents  
Washington, D.C. 20231

**SUBMISSION OF VERIFIED SMALL ENTITY STATEMENT  
& REQUEST FOR REFUND UNDER 37 CFR 1.28(a)**

Dear Sir:

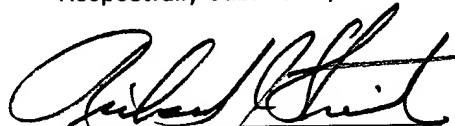
Enclosed is a Verified Statement Claiming Small Entity Status in connection with the above-identified application.

This request for refund is being made within two months of the date of payment of the first fee paid in this application, namely the filing fee on September 23, 1999.

Please refund one-half of said fee, namely \$485 and credit the same to our Deposit Account No. 12-0400. A duplicate copy of this request is attached.

Respectfully submitted,

10/26/99  
Date  
uspct/28

  
Attorney for Applicant

Richard J. Streit, Reg. 25765  
c/o Ladas & Parry  
224 South Michigan Avenue  
Chicago, Illinois 60604  
(312) 427-1300